

## Financial Supervisors

Haitong Bank S.A. (the "Bank") has its headquarters in Lisbon, where the Bank's Head Office operates.

The Bank is supervised by both the Central Bank of Portugal (Banco de Portugal), the Portuguese Financial Supervisory Commission (CMVM) (for brokerage activities).

Links to relevant registers:



<https://www.bportugal.pt/en/entidadeautorizada/haitong-bank-sa>



[https://web3.cmvm.pt/english/sdi/ifs/app/ficha\\_if.cfm?num\\_ent=%23%224ST%0A](https://web3.cmvm.pt/english/sdi/ifs/app/ficha_if.cfm?num_ent=%23%224ST%0A)

Under Polish regulations, every EU-based bank that operates in Poland as a branch of a foreign credit institution is supervised by the Polish Financial Supervision Authority (KNF) (to the extent specified by the Banking Law and the Financial Market Supervision Act), in parallel with supervision carried out by the home country regulator.

Link to the KNF register:



[https://www.knf.gov.pl/podmioty/wyszukiwarka\\_podmiotow#1231031](https://www.knf.gov.pl/podmioty/wyszukiwarka_podmiotow#1231031)

The share capital of Haitong Bank S.A. is EUR 844,769,000. This information can be seen both on the CMVM website (link above) and in the commercial register in Lisbon.

Access data to the trade register in which the Bank is registered shall be made available at the client's request.