

## Haitong Global Asset Management, SGOIC, SA

### Fee Schedule for Non-Professional Investors (Prepared in accordance with CMVM regulation nº 8/2020)

#### Discretionary Management Services

Amounts	Aggressive Profile <sup>(a) (c)</sup>		Flexible/Defensive Profile <sup>(a) (c)</sup>	
	Fixed Management Fee <sup>(1)</sup>	Variable Management Fee <sup>(2)</sup>	Fixed Management Fee <sup>(1)</sup>	Variable Management Fee <sup>(2)</sup>
Up to € 3.000.000,00	2,00%	10,00%	1,75%	10,00%
From € 3.000.000,00	1,00%	10,00%	1,00%	10,00%
Minimum amount for opening an account **	€ 100.000,00			

(1) Fixed management fee on the average daily capital under management, charged on a quarterly basis.

(2) Calculated on profit and charged annually

(\*\*) Indicative Value

The provision of the portfolio management service, implies Research's financing costs.

#### Transactions

Financial Instruments	Commission	Minimum
Shares, Bonds, Etf's and Debt Securities	0.90%	25.00 € / per trade <sup>(d) (e)</sup>
Shares, Bonds, Etf's and Debt Securities – USA	0.90%	25.00 US\$ / per trade <sup>(d) (e)</sup>
Warrants	0.90%	15.00 € / per trade <sup>(d)(e)</sup>

#### Investment Funds

Funds Managed by HGAM	
Subscription Commission	Exempt
Redemption Commission	Exempt
Management Commission	The Management Fees are available in the legal documentation of each fund (Full Prospectus and Key Investor Information - KIID) <sup>(d)</sup>

Alternative Private Equity Funds	
Subscription Commission	5% <sup>(1) (d)</sup>
Redemption Commission	Exempt
Management Commission	Management fees are available in the legal documentation for each fund (Management Regulations and Fundamental Information Document - FID). <sup>(c)</sup>

#### Registration and Deposit of Participation Units (PU's)

Fees on Custody and Movements of PU's	Comission
Custody Commission	0,2% per year, with min. 40.00 € <sup>(a)(b)(c)</sup>
Internal Transfers without change of ownership	18.00 € <sup>(c)</sup>
Internal Transfers with change of ownership	18.00 € <sup>(d)</sup>
Transfers received and dispatched without change of ownership	Portuguese PU's: 10.00 €, per type <sup>(c)</sup> International PU's : 25.00 €, per type <sup>(c)</sup>
Transfers received and dispatched with change of ownership	Portuguese PU's: 10.00 €, per type <sup>(d)</sup> International PU's: 25.00 €, per type <sup>(d)</sup>

**Corporate Events**

Fees on Events	Comission	Minimum
Interest charged	1%	18.00 € <sup>(c)</sup>
Dividends collected	1%	18.00 € <sup>(c)</sup>
Redemption of Securities	1%	18.00 € <sup>(c)</sup>
<b>Capital Increase:</b>		
- Incorporation of reserves	0.25%	35.00 € <sup>(d)</sup>
- Preferential rights	3.50%	35.00 € <sup>(d)</sup>
Public subscription	0.25%	35.00 € <sup>(c)</sup>
Amortization / Capital reductions	0.25%	35.00 € <sup>(c)(d)</sup>
Merger / split	3.50%	35.00 € <sup>(d)</sup>
Declarations / Meetings	50.00 € <sup>(c)</sup>	

The value date of the settlement of stock exchange transactions is 2 working days after the date of the transaction. Whenever there is need to carry out currency conversions to determine the transaction value or the value of commissioning, the Haitong Global Asset Management, SGOIC, S.A. uses BCE or internal exchange rates on the date of settlement of the transaction.

- a) At the option of the client in accordance with the Portfolio Management Agreement.
- b) This fee is charged quarterly.
- c) Add VAT at the applicable current rate in force for customers residing in Portugal and the EU without a VAT number / Adds Stamp Duty at the statutory rate in force for non-resident customers in Portugal and EU residents with a VAT number
- d) Add Stamp Duty at the applicable current rate.
- e) Applicable fiscal and quasi-fiscal charges may be added in the jurisdiction concerned. Tax and para-fiscal charges applicable in the jurisdiction concerned may be added.

***This price list sets maximum limits for fees and commissions. Preferential agreements may be established case by case, depending on the volume of business.***

Fiscal Information is general in nature and must be seen within the specific characteristics of each customer and transaction.

Haitong Global Asset Management, SGOIC, S.A. is an institution registered at the CMVM, and is authorized to perform financial intermediation.

In hiring investment services in securities, retail investors should review pricing carefully to calculate the total expected costs of the investment to be made, including holdings in securities, and compare them with any expected income. Before hiring the service, investors should always consult the CMVM recommendations available on the CMVM website ([www.cmvm.pt](http://www.cmvm.pt)) where they can also compare the price of its authorized intermediaries and perform cost simulations.

The Haitong Global Asset Management, SGOIC, S.A. participates in the Investor Compensation Scheme, approved by Decree-Law No 222/99 of 22 June and regulated by Implementing Order No. 1266/2001 of 06 November, which guarantees the amounts due to investors with a financial intermediary that does not have the financial capacity to repay or redeem the financial instruments deposited by customers or managed on their behalf, and the money deposited by customers with the financial intermediary and explicitly intended to be invested in financial instruments, up to € 25,000.00 per investor. A detailed description of the terms and scope of this system is available on the CMVM website ([www.cmvm.pt](http://www.cmvm.pt)).

*Comes into effect : 17-06- 2024*