

# Standard Form for the Notification of Home Member State

## HOME MEMBER STATE DISCLOSURE FORM

(\* - Mandatory information)

* 1. Issuer Name:	Haitong Bank, S.A.
1b. Formerly known as <sup>i</sup> :	
* 2. Registered office:	Rua Alexandre Herculano, 38 269-180 Lisbon, Portugal
3. LEI <sup>ii</sup> :	GDI8P8WHFH4PS5YTU851
3b. National company register number <sup>iii</sup> :	501385932
* 4. Home Member State <sup>iv</sup> :	Portugal

* 5. Triggering event <sup>v</sup> :	Issuer of shares admitted to trading	article 2(1)(i)(i)	<input type="checkbox"/>
	Issuer of debt securities denominated less than EUR 1,000 admitted to trading	article 2(1)(i)(i)	<input type="checkbox"/>
	Issuer of other securities <sup>vi</sup>	article 2(1)(i)(ii)	<input checked="" type="checkbox"/>
	Change of home Member State	article 2(1)(i)(iii)	<input type="checkbox"/>

	Shares	Debt securities < 1000€	Other securities
Austria	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Belgium	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Bulgaria	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Croatia	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Cyprus	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Czech Republic	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Denmark	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Estonia	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Finland	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
France	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Germany	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Greece	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Hungary	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Iceland	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Ireland	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Italy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Latvia	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Liechtenstein	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lithuania	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Luxembourg	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Malta	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Netherlands	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Norway	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Poland	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Portugal	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Romania	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Slovakia	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Slovenia	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Spain	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sweden	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

\* 6. Member State(s) where the issuer's securities are admitted to trading<sup>vii</sup>:

6b.	<b>Does the issuer apply an exemption under Article 8 of the Directive 2004/109/EC?</b>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No								
6c.	<b>Former home Member State (if applicable)<sup>vii</sup>:</b>										
7.	<b>National Competent Authorities the form is required to be filed with<sup>ix</sup>:</b>	CMVM									
* 8.	<b>Date of notification:</b>	13/02/2025									
9.	<b>Start date of 3-year period<sup>x</sup>:</b>	13/02/2025									
10.	<b>Additional information<sup>xi</sup>:</b>										
* 11.	<b>Contact details:</b>	<table border="1"> <tr> <td><b>Issuer's address:</b></td> <td>Rua Alexandre Herculano, 38 269-180 Lisbon, Portugal</td> </tr> <tr> <td><b>Person responsible within the issuer for the present notification:</b></td> <td>Pedro Costa</td> </tr> <tr> <td><b>E-mail address:</b></td> <td>Pedro.Costa@haitongib.com</td> </tr> <tr> <td><b>Telephone:</b></td> <td>+351 96 464 3650</td> </tr> </table>		<b>Issuer's address:</b>	Rua Alexandre Herculano, 38 269-180 Lisbon, Portugal	<b>Person responsible within the issuer for the present notification:</b>	Pedro Costa	<b>E-mail address:</b>	Pedro.Costa@haitongib.com	<b>Telephone:</b>	+351 96 464 3650
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<b>Telephone:</b>	+351 96 464 3650										

## Notes

<sup>i</sup> In case of a change of the company name compared to the previous disclosure, please provide the issuer's former company name. In case of a first-time disclosure, no information on an earlier name change is required.

<sup>ii</sup> Legal entity identifier.

<sup>iii</sup> In case the LEI is unavailable, please provide for identification purposes the number under which the issuer is registered with the business register of its country of incorporation.

<sup>iv</sup> The home Member State pursuant to article 2(1)(i) of Directive 2004/109/EC.

<sup>v</sup> The criteria upon which the home Member State has been determined.

<sup>vi</sup> For example, debt securities denominated at least EUR 1,000 units of collective investment undertaking of the closed-end type.

<sup>vii</sup> Only securities admitted to trading on regulated markets shall be taken into account.

<sup>viii</sup> Information required in case the issuer chooses a new home Member State pursuant to article 2(1)(i)(iii).

<sup>ix</sup> According to article 2(1)(i), second subparagraph of Directive 2004/109/EC.

<sup>x</sup> In case of a choice of home Member State pursuant to article 2(1)(i)(ii) of Directive 2004/109/EC.

<sup>xi</sup> Please provide any relevant additional information.

### Information on filing procedures:

Austria	E-mail to: <a href="mailto:marktaufsicht@fma.gv.at">marktaufsicht@fma.gv.at</a>
Belgium	E-mail to: <a href="mailto:trp.fin@fsma.be">trp.fin@fsma.be</a>
Bulgaria	E-mail to: <a href="mailto:delovodstvo@fsc.bg">delovodstvo@fsc.bg</a>
Croatia	National OAM: <a href="https://www.hanfa.hr/areas-of-supervision/capital-market/officially-appointed-mechanism-for-the-central-storage-of-regulated-information/">https://www.hanfa.hr/areas-of-supervision/capital-market/officially-appointed-mechanism-for-the-central-storage-of-regulated-information/</a> ; Postal address: Franje Račkoga 6, 10000 Zagreb, Hrvatska; E-mail: <a href="mailto:pisarnica@hanfa.hr">pisarnica@hanfa.hr</a> Forms submitted in electronic format must be signed with a qualified electronic signature.
Cyprus	E-mail to: <a href="mailto:info@cysec.gov.cy">info@cysec.gov.cy</a> (to the attention of Issuers Department)
Czech Republic	National OAM: <a href="https://www.cnb.cz/en/supervision-financial-market/conduct-of-supervision/information-duties-of-financial-market-entities-vis-a-vis-the-czech-national-bank/regular-reporting-of-issuers-and-other-persons/">https://www.cnb.cz/en/supervision-financial-market/conduct-of-supervision/information-duties-of-financial-market-entities-vis-a-vis-the-czech-national-bank/regular-reporting-of-issuers-and-other-persons/</a>
Denmark	Website: <a href="https://www.dfsa.dk/">https://www.dfsa.dk/</a>
Estonia	E-mail to: <a href="mailto:info@fi.ee">info@fi.ee</a>
Finland	E-mail to: <a href="mailto:kirjaamo@finanssivalvonta.fi">kirjaamo@finanssivalvonta.fi</a>
France	Via: <a href="https://onde.amf-france.org/RemiseInformationEmetteur/Client/PTRemiseInformationEmetteur.aspx">https://onde.amf-france.org/RemiseInformationEmetteur/Client/PTRemiseInformationEmetteur.aspx</a>
Germany	E-mail to: <a href="mailto:p26@bafin.de">p26@bafin.de</a>
Greece	E-mail to: <a href="mailto:transparency@cmc.gov.gr">transparency@cmc.gov.gr</a>
Hungary	E-mail to: <a href="mailto:kibocsatoifelugyeles@mnb.hu">kibocsatoifelugyeles@mnb.hu</a>
Iceland	National OAM: <a href="http://www.oam.is">www.oam.is</a>
Ireland	E-mail to: <a href="mailto:regulateddisclosures@centralbank.ie">regulateddisclosures@centralbank.ie</a>
Italy	E-mail to: <a href="mailto:HMSdisclosureform@consob.it">HMSdisclosureform@consob.it</a>
Latvia	E-mail to: <a href="mailto:info@bank.lv">info@bank.lv</a> or postal address: K. Valdemāra 2A, Rīga, LV-1050, Latvija
Liechtenstein	E-mail to: <a href="mailto:OAM@fma-li.li">OAM@fma-li.li</a>
Lithuania	E-mail to: <a href="mailto:transparency@lb.lt">transparency@lb.lt</a>
Luxembourg	E-mail to: <a href="mailto:transparency@cssf.lu">transparency@cssf.lu</a>
Malta	E-mail to: <a href="mailto:transparency@mfsa.mt">transparency@mfsa.mt</a>
Netherlands	E-mail to: <a href="mailto:HMS_Registration@afm.nl">HMS_Registration@afm.nl</a>
Norway	E-mail to: <a href="mailto:post@finanstilsynet.no">post@finanstilsynet.no</a> (ref. <a href="https://www.finanstilsynet.no/rapportering/finansiell-rapportering/hjemstat2/">https://www.finanstilsynet.no/rapportering/finansiell-rapportering/hjemstat2/</a> - EN only)
Poland	E-mail to: <a href="mailto:dsp@knf.gov.pl">dsp@knf.gov.pl</a> or via Electronic Information Transfer System (ESPI) used to publication of regulated information
Portugal	E-mail to: <a href="mailto:transparency@cmvm.pt">transparency@cmvm.pt</a>
Romania	E-mail to: <a href="mailto:transparency@asfromania.ro">transparency@asfromania.ro</a>
Slovakia	National OAM: <a href="https://ceri.nbs.sk/">https://ceri.nbs.sk/</a>
Slovenia	E-mail to: <a href="mailto:info@atvp.si">info@atvp.si</a>
Spain	Online register: <a href="https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en">https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en</a>
Sweden	E-mail to: <a href="mailto:transparens@fi.se">transparens@fi.se</a>